

Wave interaction in photonic integrated circuits



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Wave interaction in photonic integrated circuits

- Basis fields
 - · Straight channels
 - · Curved waveguides
 - · Localized resonances
- · Hybrid coupled mode theory
- · Field templates
- · Amplitude discretization
- · Solution procedures
- Supermode analysis
- · Coupled straight waveguides
- · Channel crossing
- · Micro-ring circuits

Frequency domain,

- $\nabla \times \boldsymbol{H} \mathrm{i}\omega\epsilon_0\epsilon\boldsymbol{E} = 0, \\ -\nabla \times \boldsymbol{E} \mathrm{i}\omega\mu_0\boldsymbol{H} = 0,$
- $\omega = k \mathbf{c} = 2\pi \mathbf{c}/\lambda$ given,
- $\epsilon=n^2,\ n(x,y,z),$

2-D examples & specifics, 2-D (3-D) formalism.

Straight dielectric waveguide



TE, $n_b = 1.5$, $n_g = 2.0$, $d = 1.0 \,\mu\text{m}$, $\lambda = 1.5 \,\mu\text{m}$, $\beta_0/k = 1.924$, $\beta_1/k = 1.697$.





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Straight dielectric waveguide





Waveguide bend



Whispering gallery resonances



 $Q = {\rm Re}\,\omega^{\rm c}/(2{\rm Im}\,\omega^{\rm c}), \qquad \lambda_{\rm r} = 2\pi{\rm c}/{\rm Re}\,\omega^{\rm c}, \qquad {\rm outgoing\ radiation,\ FWHM:}\ \Delta\lambda = \lambda_{\rm r}/Q.$

Waveguide bend



$$\begin{pmatrix} \boldsymbol{E} \\ \boldsymbol{H} \end{pmatrix} (\boldsymbol{r}, \boldsymbol{\theta}) \approx \ \boldsymbol{c}(\boldsymbol{\theta}) \begin{pmatrix} \tilde{\boldsymbol{E}} \\ \tilde{\boldsymbol{H}} \end{pmatrix} (\boldsymbol{r}) \, \mathrm{e}^{-\mathrm{i} \gamma \boldsymbol{R} \boldsymbol{\theta}}$$

Whispering gallery resonances



Localized resonances



 $\binom{E}{H}(x, z) \approx c \binom{\bar{E}}{\bar{H}}(x, z)$

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A waveguide crossing



Coupled Mode Model ?

Field ansatz



Basis elements:





 $\begin{pmatrix} E \\ H \end{pmatrix} (x,z) = f(z)\psi^{i}(x,z) + b(z)\psi^{b}(x,z) + \sum_{m} u_{m}(x)\psi^{u}_{m}(x,z) + \sum_{m} d_{m}(x)\psi^{d}_{m}(x,z)$ $f, b, u_{m}, d_{m}; ?$

Amplitude functions, discretization



Galerkin procedure, continued

• Insert
$$\begin{pmatrix} E \\ H \end{pmatrix} = \sum_{k} a_k \begin{pmatrix} E_k \\ H_k \end{pmatrix}$$
,
• select $\{u\}$: indices of unknown coefficients,
 $\{g\}$: given values related to prescribed influx,
• require $\int \iint \mathcal{K}(E_l, H_l; E, H) dx dy dz = 0$ for $l \in \{u\}$
• compute $K_R = \int \iint \mathcal{K}(E_l, H_l; E_k, H_k) dx dy dz$.

$$\sum_{k \in \{\mathbf{u},g\}} K_{lk} a_k = 0, \ l \in \{\mathbf{u}\}, \qquad \left(\mathsf{K}_{\mathbf{u}\,\mathbf{u}} \,\mathsf{K}_{\mathbf{u}\,g}\right) \begin{pmatrix} \boldsymbol{a}_{\mathbf{u}} \\ \boldsymbol{a}_{g} \end{pmatrix} = 0, \qquad \text{or} \qquad \mathsf{K}_{\mathbf{u}\,\mathbf{u}} \boldsymbol{a}_{\mathbf{u}} = -\mathsf{K}_{\mathbf{u}\,g} \boldsymbol{a}_{g} \,.$$

Galerkin procedure

$$\begin{array}{l} \nabla \times \boldsymbol{H} - \operatorname{isc}_{oc} \boldsymbol{cE} = 0 \\ -\nabla \times \boldsymbol{E} - \operatorname{isc}_{op} \boldsymbol{0} \boldsymbol{H} = 0 \end{array} \qquad (\begin{array}{c} \boldsymbol{F} \\ \boldsymbol{G} \end{array})^*, \qquad \int \int \int \\ \int \int \mathcal{K}(\boldsymbol{F}, \boldsymbol{G}; \boldsymbol{E}, \boldsymbol{H}) \, \mathrm{dx} \, \mathrm{dy} \, \mathrm{dz} = 0 \quad \text{for all } \boldsymbol{F}, \ \boldsymbol{G}, \end{array}$$

where

$$\mathcal{K}(F, G; E, H) = F^* \cdot (\nabla \times H) - G^* \cdot (\nabla \times E) - i\omega\epsilon_0 \epsilon F^* \cdot E - i\omega\mu_0 G^* \cdot H.$$

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Further issues

... plenty.

Straight waveguide



Two coupled parallel cores



Basis: forward TE₀ modes of the individual cores, input amplitude $f_b = 1$, FEM discretization:

 $z \in [-20, 20] \,\mu\text{m}, \,\Delta z = 0.5 \,\mu\text{m},$

computational domain: $z \in [-20, 20] \ \mu\text{m}, x \in [-3.0, 3.0] \ \mu\text{m}.$



Two coupled parallel cores



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 $z \in [-20, 20] \ \mu m, x \in [-3.0, 3.0] \ \mu m.$

Coupling length versus gap:



Waveguide crossing, fields

 $v = 0.45 \,\mu m$, bimodal vertical WG:



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Waveguide crossing, power transfer





Waveguide crossing, amplitude functions

Ringresonator



TE,
$$R = 7.5 \,\mu\text{m}$$
, $w = 0.6 \,\mu\text{m}$, $d = 0.75 \,\mu\text{m}$, $g = 0.3 \,\mu\text{m}$, $n_g = 1.5$, $n_b = 1.0$, $\lambda \approx 1.55 \,\mu\text{m}$.

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Ringresonator, HCMT procedure



Ringresonator, field template



Single ring filter, spectral response





Ringresonator, field template



• Frequency ω given, $\sim \exp(i\omega t)$,



• & further terms.

$$\begin{pmatrix} \boldsymbol{E} \\ \boldsymbol{H} \end{pmatrix} (\boldsymbol{x}, \boldsymbol{z}) = f(\boldsymbol{z}) \, \boldsymbol{\psi}^{\mathrm{f}}(\boldsymbol{x}, \boldsymbol{z}) + \boldsymbol{b}(\boldsymbol{z}) \, \boldsymbol{\psi}^{\mathrm{b}}(\boldsymbol{x}, \boldsymbol{z}) + \sum_{j} c_{j} \, \boldsymbol{\psi}^{\mathrm{c}}_{j}(\boldsymbol{r}, \boldsymbol{\theta}),$$
$$\boldsymbol{r} = r(\boldsymbol{x}, \boldsymbol{z}), \, \boldsymbol{\theta} = \boldsymbol{\theta}(\boldsymbol{x}, \boldsymbol{z}).$$

Excitation of whispering gallery resonances



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Ringresonator, HCMT procedure



Channels: 1-D FEM discretization,

$$f(z) \to \{f_j\}, \\ b(z) \to \{b_j\}.$$

f, b, cj: ?

Single ring filter, spectral response



Single ring filter, benchmark



Single ring filter, WGM amplitudes



WGM(0.40) WGM(0.39) WGM(0.39) WGM(0.37) 1.54 1.56 1.50

WGM(0.41)

Single ring filter, transmission resonance



Single ring filter, resonance positions



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HCMT supermode analysis

• Insert
$$\begin{pmatrix} E \\ H \end{pmatrix} = \sum_{k} d_{k} \begin{pmatrix} E_{k} \\ H_{k} \end{pmatrix}$$
,
• require $\iiint \mathcal{A}(E_{l}, H_{l}; E, H) dx dy dz - \omega^{s} \iiint \mathcal{B}(E_{l}, H_{l}; E, H) dx dy dz = 0$
for all l ,
• compute $A_{lk} = \iiint \mathcal{A}(E_{l}, H_{l}; E_{k}, H_{k}) dx dy dz$,
 $B_{lk} = \iiint \mathcal{B}(E_{l}, H_{l}; E_{k}, H_{k}) dx dy dz$.

Supermodes

Look for
$$\omega^{s} \in \mathbb{C}$$
 where the system

$$\begin{cases}
\nabla \times H - i\omega^{s}c_{0}cE = 0 \\
-\nabla \times E - i\omega^{s}\mu_{0}H = 0
\end{cases}$$
boundary conditions: "outgoing waves" \end{cases}

permits nontrivial solutions E, H.

$$\begin{array}{c|c} \nabla \times H - i\omega^{3}\epsilon_{0}\epsilon E = 0 \\ -\nabla \times E - i\omega^{5}\mu_{0}H = 0 \end{array} & \begin{pmatrix} F \\ G \end{pmatrix}^{*}, & \iiint \\ \int \iint \mathcal{A}(F,G;E,H) \, \mathrm{dx} \, \mathrm{dy} \, \mathrm{dz} - \omega^{5} \iiint \mathcal{B}(F,G;E,H) \, \mathrm{dx} \, \mathrm{dy} \, \mathrm{dz} = 0 \quad \text{for all } F, G, \\ \text{where} \quad \mathcal{A}(F,G;E,H) = F^{*} \cdot (\nabla \times H) - G^{*} \cdot (\nabla \times E) , \\ \mathcal{B}(F,G;E,H) = i\epsilon_{0}\epsilon F^{*} \cdot E + i\mu_{0}G^{*} \cdot H. \end{array}$$

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Further issues

... plenty.

WGMs, small uniform perturbations



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Single ring filter, unidirectional supermodes





Single ring filter, resonance positions



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 $Q = 4.4 \cdot 10^2$

Single ring filter, bidirectional supermodes

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Coupled resonator optical waveguide



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CROW, spectral response



CROW, supermode pattern





Three-ring molecule, supermodes



Three-ring molecule, supermodes

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Three-ring molecule, supermodes



Three-ring molecule, supermodes



Three-ring molecule, supermodes



Three-ring molecule, supermodes



Three-ring molecule, excitation





 $\lambda_r = 1.55988 \, \mu m$

 $Q = 1.2 \cdot 10^5$. $\tilde{\Delta}\lambda = 1.3 \cdot 10^{-5} \, \text{um}$

Concluding remarks

Hybrid Coupled Mode Theory:

- an ab-initio, quantitative, quite general CMT variant, very close to common ways of reasoning in integrated optics,
- alternatively: a numerical (FEM) approach with highly specialized base functions,
- · reasonably versatile:



• extension to 3-D: numerical basis fields, still moderate effort expected (in progress).

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