

A circular integrated optical microresonator



2-D, radius 2 µm, core thickness 0.22 µm, gap 0.3 µm, refractive indices 3.45 : 1.45, wavelength 1.531 µm, in: TE₀.

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A circular integrated optical microresonator

(2-D) $\partial_{\mathbf{y}}\epsilon = 0, \ \partial_{\mathbf{y}}(\boldsymbol{E},\boldsymbol{H}) = 0$



A circular integrated optical microresonator

(2.5-D) $\partial_{y}\epsilon = 0$, $(\boldsymbol{E}, \boldsymbol{H}) \sim \exp(-ik_{y}y)$, $k_{y} \sim \sin\theta$



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Resonant evanescent excitation of OAM modes in a circular step-index fiber

High-contrast slab & fiber

Overview

- Oblique incidence of semi-guided waves
- Fiber resonator, HCMT model
- OAM modes of the fiber
- Resonance properties
- Bundles of semi-guided waves





 $n_{\rm s} = 1.45, n_{\rm f} = 3.45, n_{\rm c} = 1.0, d = 0.22 \,\mu\text{m}; n_{\rm r} = 1.45, n_{\rm a} = 3.45, a = 0.22 \,\mu\text{m}, \rho = 2 \,\mu\text{m};$ variable g. TE- / TM-excitation at $\lambda = 1.55 \,\mu\text{m}$, varying θ .

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Semi guided waves at oblique angles of incidence





 $\sim e^{i\omega t}, \ \omega = kc = 2\pi c/\lambda$

- Incoming slab mode $\{N_{in}; \Psi_{in}\}, (E, H) \sim \Psi_{in}(x) e^{-i(k_y y + k_z z)},$ incidence angle $\theta, k^2 N_{in}^2 = k_y^2 + k_z^2, k_y = k N_{in} \sin \theta.$
- y-homogeneous problem: $(E, H) \sim e^{-ik_y y}$ everywhere.

Semi guided waves at oblique angles of incidence



- Outgoing wave { $N_{\text{out}}; \Psi_{\text{out}}$ }, $(E, H) \sim \Psi_{\text{out}}(.) e^{-i(k_y y + k_\xi \xi)}$, $k^2 N_{\text{out}}^2 = k_y^2 + k_\xi^2$, $k_y = k N_{\text{in}} \sin \theta$.
- $k^2 N_{out}^2 > k_y^2$: $k_{\xi} = k N_{out} \cos \theta_{out}$, wave propagating at angle θ_{out} , $N_{out} \sin \theta_{out} = N_{in} \sin \theta$.

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Semi guided waves at oblique angles of incidence



• $k^2 N_{out}^2 < k_y^2$: $k_{\xi} = -i \sqrt{k_y^2 - k^2 N_{out}^2}$, ξ -evanescent wave, the outgoing wave does not carry optical power.

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Critical angles



 $n_{\rm f} > n_{\rm s} > n_{\rm c},$ single mode slabs, $N_{\rm TE} > N_{\rm TM} > n_{\rm s},$ in: TE₀.

- Propagation in the substrate and cladding relates to effective indices $N_{\text{out}} \le n_{\text{s}}$ $\sim R_{\text{TE}} + R_{\text{TM}} + T_{\text{TE}} + T_{\text{TM}} = 1$ for $\theta > \theta_{\text{s}}$, $\sin \theta_{\text{s}} = n_{\text{s}}/N_{\text{TE}}$.
- TM polarized waves relate to effective mode indices $N_{\text{out}} \le N_{\text{TM}}$ $\sim R_{\text{TM}} = T_{\text{TM}} = 0$, $R_{\text{TE}} + T_{\text{TE}} = 1$ for $\theta > \theta_{\text{TM}}$, $\sin \theta_{\text{TM}} = N_{\text{TM}}/N_{\text{TE}}$.

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Semi guided waves at oblique angles of incidence



Critical angles



 $n_{\rm f} > n_{\rm s} > n_{\rm c}$, single mode slabs, $N_{\rm TE} > N_{\rm TM} > n_{\rm s}$, in: TM₀.

• Propagation in the substrate and cladding relates to effective indices $N_{\text{out}} \le n_{\text{s}}$ $\sim \sim R_{\text{TE}} + R_{\text{TM}} + T_{\text{TE}} + T_{\text{TM}} = 1$ for $\theta > \theta_{\text{s}}$, $\sin \theta_{\text{s}} = n_{\text{s}}/N_{\text{TM}}$.

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High-contrast slab & fiber



 $n_{\rm s} = 1.45, \ n_{\rm f} = 3.45, \ n_{\rm c} = 1.0, \ d = 0.22 \ \mu {\rm m}; \ n_{\rm r} = 1.45, \ n_{\rm a} = 3.45, \ a = 0.22 \ \mu {\rm m}; \ {\rm variable} \ g.$ TE- / TM-excitation at $\lambda = 1.55 \ \mu {\rm m}$, varying θ .

TE input: $\theta_c = 20.88^\circ$, $\theta_s = 31.13^\circ$, $\theta_{TM} = 41.94^\circ$; TM input: $\theta_c = 32.24^\circ$, $\theta_s = 50.66^\circ$.

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Simulations: Hybrid coupled mode theory



HCMT model, Galerkin procedure

$$\nabla \times \boldsymbol{H} - i\omega\epsilon_{0}\epsilon\boldsymbol{E} = 0$$

$$-\nabla \times \boldsymbol{E} - i\omega\mu_{0}\boldsymbol{H} = 0$$

$$\int\int \mathcal{K}(\boldsymbol{F}, \boldsymbol{G}; \boldsymbol{E}, \boldsymbol{H}) \, dx \, dz = 0 \quad \text{for all } \boldsymbol{F}, \boldsymbol{G},$$

where

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$$\mathcal{K}(\boldsymbol{F},\boldsymbol{G};\boldsymbol{E},\boldsymbol{H}) = \boldsymbol{F}^* \cdot \left(\boldsymbol{\nabla}_{k_y} \times \boldsymbol{H}\right) - \boldsymbol{G}^* \cdot \left(\boldsymbol{\nabla}_{k_y} \times \boldsymbol{E}\right) - \mathrm{i}\omega\epsilon_0\epsilon \boldsymbol{F}^* \cdot \boldsymbol{E} - \mathrm{i}\omega\mu_0\boldsymbol{G}^* \cdot \boldsymbol{H}.$$

HCMT model, discretization

$$\int_{k}^{x} \int_{k}^{x} \int_{k$$

• Insert
$$\begin{pmatrix} E \\ H \end{pmatrix} = \sum_{k} a_{k} \begin{pmatrix} E_{k} \\ H_{k} \end{pmatrix}$$
,
• select {u}: indices of unknown coefficients,
{g}: given values related to prescribed influx,
• require $\iint \mathcal{K}(E_{l}, H_{l}; E, H) \, dx \, dz = 0$ for $l \in \{u\}$,
• compute $K_{lk} = \iint \mathcal{K}(E_{l}, H_{l}; E_{k}, H_{k}) \, dx \, dz$.
 $\sum_{k \in \{u,g\}} K_{lk}a_{k} = 0, \ l \in \{u\}, \qquad (\mathsf{K}_{u\,u}\,\mathsf{K}_{u\,g}) \begin{pmatrix} a_{u} \\ a_{g} \end{pmatrix} = 0, \qquad \text{or} \qquad \mathsf{K}_{u\,u}a_{u} = -\mathsf{K}_{u\,g}a_{g}.$

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OAM modes of the coated step-index fiber

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 $\begin{pmatrix} \boldsymbol{E} \\ \boldsymbol{H} \end{pmatrix} (\boldsymbol{r}, \varphi, \boldsymbol{y}) = \left(\boldsymbol{\Psi}(\boldsymbol{r}) \, \mathrm{e}^{-\mathrm{i} l \varphi} \right) \, \mathrm{e}^{-\mathrm{i} k N_{\mathrm{m}} \boldsymbol{y}}$

angular order $l \in \mathbb{Z}$, effective index N_m ; degenerate modes OAM(l, .) and OAM(-l, .).



HCMT, further issues



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Oblique resonant excitation of the fiber



The fiber supports a guided mode with effective index $N_{\rm m}$

Angular spectrum, TE excitation



DAM(8,1) OAM(3,1) OAM(12,1) OAM(10,1) DAM(13,1) DAM(14,1) OAM(11,1) OAM(9,1) OAM(7,1) OAM(6,1) OAM(2,1) OAM(5,1) OAM(4,1) OAM(1,1) TE(0,1) ц, OAM(-I, 1) 2 |a|²/1000 0 OAM(+I, 1) OAM(-18,1) ⁰m OAM(18,1) OAM(-13,1) ⁰m OAM(13,1) OAM(-8,1) ⁰m OAM(8,1) OAM(-3,1) θ_m OAM(3,1) 5 g = 0.4µm · g = 0.4µm g = 0.4µm g = 0.4µm 2 log 10 |a| g = 0.3µm · g = 0.4µm g = 0.3µm g = 0.3µm g = 0.3µm = 0.3µm g = 0.2µm g = 0.2µm g = 0.2µj 0 67.9 68 68.1 68.2 68.3 θ[°] 33 33.1 33.2 33.3 52.7 52.8 52.9 53 81.5 81.6 81.7 81.8 81.9 θ[°] θ [°]

Amplitudes at resonance, TE excitation

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$(g = 0.3 \,\mu m)$

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Angular spectrum, TE excitation



Angular spectrum, TM excitation



Angular spectrum, TM excitation



$OAM(\pm 13, 1)$, TE excitation



$(g = 0.3 \,\mu\text{m}, \text{spectrum}, g = 0.2 \,\mu\text{m}, \text{absolute electric field } |E|)$



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Amplitudes at resonance, TM excitation



$OAM(\pm 13, 1)$, TE excitation, varying gap



Laterally limited limited input



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Gaussian bundles of semi-guided waves

- Superimpose 2-D solutions for a range of k_y / a range of θ, such that the input field resembles an in-plane confined beam.
- Incoming wave, "small" *w_k*:

$$(\boldsymbol{E}, \boldsymbol{H})_{\text{in}}(x, y, z) \sim e^{-\frac{\left((y - y_0) - \frac{k_{y_0}}{k_{z_0}}(z - z_0)\right)^2}{(W_y/2)^2}} \Psi_{\text{in}}(k_{y_0}; x) e^{-i(k_{y_0}(y - y_0) + k_{z_0}(z - z_0))}$$





 $W_y = 4/w_k.$

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Gaussian bundles of semi-guided waves

• Superimpose 2-D solutions for a range of k_y / a range of θ , such that the input field resembles an in-plane confined beam.

$$(\boldsymbol{E}, \boldsymbol{H})(x, y, z) = A \int e^{-\frac{(k_y - k_{y0})^2}{w_k^2}} \left(\Psi_{in}(k_y; x) e^{-ik_z(k_y)(z - z_0)} + \boldsymbol{\rho}(k_y; x, z) \right) e^{-ik_y(y - y_0)} dk_y$$

Focus at (y_0, z_0) ,

Pocus at (y_0, z_0) , primary angle of incidence θ_0 , $k_{v0} = kN_{in} \sin \theta_0$.

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Gaussian bundles of semi-guided waves

- Superimpose 2-D solutions for a range of k_y / a range of θ, such that the input field resembles an in-plane confined beam.
- Incoming wave, "small" *w_k*:

$$(E, H)_{in}(x, c, l) \sim e^{-\frac{c^2}{(W/2)^2}} \Psi_{in}(k_{y0}; x) e^{-ikN_{in}l}$$



Focus at (y_0, z_0) , primary angle of incidence θ_0 , $k_{y0} = kN_{in} \sin \theta_0$, $k_{z0} = kN_{in} \cos \theta_0$, width W_y (full, along y, 1/e, field, at focus), width W (full, cross section, 1/e, field, at focus), $W_y = 4/w_k$, $W = W_y \cos \theta_0$.

Excitation by semi-guided beams

Excitation by semi-guided beams



(g = 200 nm, TE input, target mode OAM(-13, 1); absolute electric field |E|)

Excitation by semi-guided beams



$P_{\rm f}(y)$: Power fraction diverted from the incoming beam to the fiber, at axial position y.

g = 0.2μm **g** = 0.3μm g = 0.4μm 3.5 g = 0.4µm 0.8 3 2.5 g = 0.3µn 0.6 $\max_{y}(P_{f})$ log₁₀(A_f) 2 1.5 g = 0.2µm 0.4 0.5 0.2 0 -0.5 0 10 12 14 2 10 12 14 2 6 8 0 4 6 8 4 0 log_(W/(10µm)) log_(W/(10µm)) $A_{\rm f}$: Amplification ratio $\max_{v} |E_{\rm fiber}|^2 / \max_{z} |E_{\rm in}|^2$

 $P_{\rm f}(y)$: Power fraction diverted from the incoming beam to the fiber, at axial position y.

Excitation by semi-guided beams



(g = 200 nm, TE input, target mode OAM(-13, 1); absolute electric field |E|)

$\max_{y} P_{\rm f} \approx 0.8, A_{\rm f} \approx 10^{1.5}, (\text{purity} \approx 0.9999).$

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Concluding remarks

Resonant evanescent excitation of OAM modes in a high-contrast circular step-index fiber:

- an exceptionally simple, efficient scheme for the generation of waves that carry high order orbital angular momentum,
- similar resonance features for variations of vacuum wavelength λ instead of angle θ ,
- an optical resonator of travelling-wave type with an open, lossless dielectric cavity,
- concept transfers to other fiber/slab configurations, e.g. to systems with slightly lower contrast, or to a non-coated, high-index dielectric rod.







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